



07-13-05

3624/16

CF/028

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert
Application No. : 09/858,091 Confirmation No. : 2562
Filed : May 15, 2001
For : SYSTEMS AND METHODS FOR SHIFTING BIDS AND
OFFERS IN A TRADING INTERFACE
Group Art Unit : 3624
Examiner : Steven R. Wasylchak

Mail Stop AMENDMENT
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV619613987US

Date of Deposit: July 11, 2005

I hereby certify that this certification and the
following papers:

1. Transmittal Letter for Supplemental Information
Disclosure Statement (in duplicate);
2. Supplemental Information Disclosure Statement;
and
3. Form SB/08 (in duplicate);

are being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service under 37 C.F.R.
§ 1.10 on the date indicated above and are addressed to Mail
Stop AMENDMENT, Hon. Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450.

Name: Isatta B. Smith



CF/028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

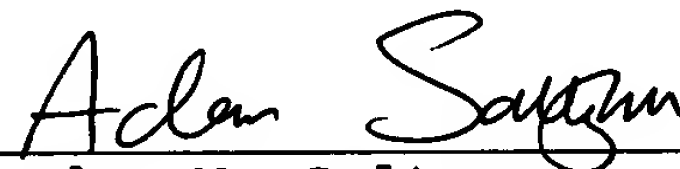
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TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. § 1.97(c)(2), please charge \$180.00 to Deposit-Account No. 06-1075, Order No. 000741-0028, in payment of the fee for submission of an Information Disclosure Statement. The Director is hereby authorized to charge payment of any additional fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075, Order No. 000741-0028. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to
the following documents:

U.S. Patent Documents

2002/0029180 A1	Kirwin et al.	03/07/2002
2001/0051907 A1	Kumar et al.	12/13/2001
2001/0034688 A1	Annunziata	10/25/2001
2001/0032163 A1	Fertik et al.	10/18/2001
2001/0023414 A1	Kumar et al.	09/20/2001
6,278,982 B1	Korhammer et al.	08/21/2001

07/15/2005 SSITHIB1 00000159 061075 09858091

01 FC:1806 180.00 DA

6,006,201	Berent et al.	12/21/1999
5,774,873	Berent et al.	06/30/1998
4,674,044	Kalmus et al.	06/16/1987

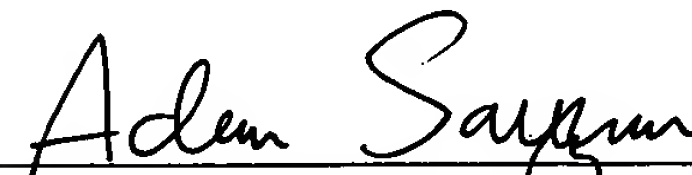
These documents are listed on the accompanying Form SB/08 (submitted in duplicate). In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of the U.S. patent documents are not enclosed herewith.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form SB/08, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to
this patent application is respectfully requested.

Respectfully submitted,



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Applicant Number	09/858,091
Filing Date	May 15, 2001
First Named Inventor	Andrew C. Gilbert
Art Unit	3624
Examiner Name	Steven R. Wasylchak
Attorney Docket Number	CF/028
Confirmation Number	2562

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2002/0029180 A1	03/07/2002	Kirwin et al.	
		US-2001/0051907 A1	12/13/2001	Kumar et al.	
		US-2001/0034688 A1	10/25/2001	Annunziata	
		US-2001/0032163 A1	10/18/2001	Fertik et al.	
		US-2001/0023414 A1	09/20/2001	Kumar et al.	
		US-6,278,982 B1	08/21/2001	Korhammer et al.	
		US-6,006,201	12/21/1999	Berent et al.	
		US-5,774,873	06/30/1998	Berent et al.	
		US-4,674,044	06/16/1987	Kalmus et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.